IN THE UNITED STATES PATENT AND TRADEMASS OFFICE



In re PATENT APPLICATION of

Inventor(s): Mark PEPYS

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications) For Design, Provisional, or Utility Applications

PATENT APPLICATION

Attn: Application Division

COMPLETION Under Rule 53(f)

App	ıln. No		985,699	Atty.Dk	t. P	0284057	206002/JND	
File Title		Series Code û November 5, 2001 Therapeutic Agent	Serial No. û	·		M#	Client Ref	
		misioner of Patents on,DC 20231		Date:	May 15, 2002			
	The following completes the filing under Rule 53(f) of the above-identified patent application:							
	Notic	e to File Missing P	arts 🛛 copy attac	hed	not yet received			
	⊠ Si	gned Declaration att	ached.		⊠ Facsimile/Copy			
	(Alwa	(Always "X" box 2 if filling signed Declaration and "X" box 2A only if top box of the Declaration is X'd and file application copy, or "X" box 2B only if none of the top three boxes of the Declaration is X'd.)						
	2A.	Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.						
J	2B.	☑ The original application as filed in the PTO on the above filing date is the application which each						

☐ Specification originally filed in non-English language; hence verified translation attached of: a. ☐ Abstract

inventor executed by signing the attached Rule 63 Declaration.

b. pages of Specification(only spec. & claims) C. Drawing(s) No of Sheets

> Fig(s). 1 05/17/2002 BABRAHA1 00000115 033975 05 FC:216 200.00 CH

4. Letter filing formal drawing attached.

Attached is an assignment and cover sheet. Please return the recorded assignment to the Indersigned.

6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in GB

Application No.	. Filing Date	Application No.	Filing Date
(1) 0119370.5	8 August 2001	(2)	
(3)		(4)	
(5)		(6)	

3

5.





91 (No.) Certified copy (copies):								
in 0.5. Application	<u> </u>	***************************************	filed on			<u> </u>		
10. Small Entity Status Ø	Z = statistical (ind 1) to 200 in this is the first claim of							
11. 🛚 Attached: Replacer	Small Entity Status) 11. 🖂 Attached: Replacement Abstract							
12. Preliminary Amendment:								
THE FOLLOWING FIL	ING FEE IS BA	SED ON CLA	IMS AS FILED	LESS ANY ABO	VE CANCELLE	<u>:D</u>		
			ľ	Larga/Small	រា	F		
				Large/Small Entity		Fee Code		
13. Basic Filing Fee		Desi	gn Application	\$330/\$165		106/26		
		<u>Not</u> Desi	gn Application	\$740/\$370	+370	101/201		
+4. Total Effective Claims	35	minus 20 =	15	x \$18/\$9	+135	103/203		
15. Independent Claims	6	minus 3 =	3	x \$84/\$42	+126	102/202		
16. If any proper multiple deper Leave this line blank if this is a	<u>reissue</u> applic	ation)	is present,	\$280/\$140	+0	104/204		
村. Surcharge for filing Declara	tion/filing fee la	ite		\$130/\$65	+65	105/205		
†8.			FILING FEE	ENCLOSED =	\$696			
	1arch 17, 2002							
20. Petition is hereby made to cover the date this response is	extend the <u>ori</u>	<u>ginal</u> due date i	` '	\$110/\$55 =	+200	115/215		
is attached	illed for which	ine requisite tee	` '	\$400/\$200 = \$920/\$460 =		116/216 117/217		
J			(3mos) (4mos)	\$1,440/\$720 =		118/218		
②. If "non-English" box 3 is X'd	l, add Rule 17(I	() processing fe	ee	\$130	+0	139		
22. If "assignment" box 5 is X'd	, add recording	fee		\$40	+0	581		
23. Petition Fee for				\$130	+0			
24.			TOTAL FEE	ENCLOSED =	\$896			
Our Deposit Account No. 03-3975								
Our Order No. 0	68800	0	284057					
	C#		M#					
CHARGE STATEMENT: The Commiss fee(s) filed, or asserted to be filed, or w	sioner is hereby au which should have t	thorized to charge	any fee specifically	authorized hereafter,	or any missing or i	nsufficient		
under Rules 16-18 (missing or insufficie	encies only) now or	hereafter relative t	o this application ar	nd the resulting Officia	al document under	Rule 20 or		
credit any overpayment, to our Account	/Order Nos. shown	in the heading her	eof for which purpo	se a dunlicate convio	of this sheet is attac	hed.		
This CHARGE STATEMENT <u>does</u> <u>not</u> <u>authorize</u> charge of the <u>issue</u> <u>fee</u> until/unless an issue fee transmittal form is filed.								
DAZ -905-201								
Pillsbury Winthrop LLP 703-905-2064 Intellectual Property Group								
1600 Tysons Boulevard By Atty: Robin L. Teskin/Samir Elamrani Reg. No. 35,030/43,601								
McLean, VA 22102						J,00 i		
el: (703) 905-2000								
Atty/Sec: RLT/LAK	(J	-			LLUU		
NOTE: File in <u>duplicate</u> with PTO receipt (PAT-103A) and attachments								

30286656_1.DOC



₫

Δ

Jī

F

NITED STATES PATENT AND TRADEMARK OFFICE

SIONER FOR PATENTS

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.usplo.gov

APPLICATION NUMBER

MAY 1 5 2002

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/985,699

11/05/2001

Mark Pepys

P 0284057 206002/JND/JE/N

CONFIRMATION NO. 4029

00909 PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD MCLEAN, VA 22102 FORMALITIES LETTER

OC000000007326992

Date Mailed: 01/17/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$261.
 - \$135 for 15 total claims over 20.
 - \$126 for 3 independent claims over 3.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 696.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice MUST be returned with the reply.

05/17/2002 BABRAHA1 00000115 033975 09985699

01 FC:201 370.00 CH 02 FC:205 65.00 CH 03 FC:203 135.00 CH 04 FC:202 126.00 CH

Customer Service Center Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE